Section 2. Form PTO - 1449 (Modified) (ATTACHMENT)

FORM PTO-1449 U.S. DEPT. OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. BW-DKT00010A	SERIAL NO. 136
	APPLICANT Simpson et al	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE 07/15/2003	GROUP 3748

U.S. PATENT DOCUMENTS

Ini	am tial		DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
3	<u>-</u>	AA	5,002,023	03/26/91	Butterfield et al	123	90.15	
		AB	5,107,804	04/28/92	Becker et al	123	90.17	
		AC	5,172,659	12/22/92	Butterfield et al.	123	90.17	
		AD	5,184,578	02/09/93	Quinn, Jr. et al	123	90.17	
		AE	5,289,805	03/01/94	Quinn, Jr. et al.	123	90.17	
		AF	5,361,735	11/08/94	Butterfield et al.	123	90.17	
		AG	5,497,738	03/12/96	Siemon et al.	123	90.17	
		AH	5,657,725	08/19/97	Butterfield et al.	123	90.17	
		ΑI	6,247,434	06/19/2001	Simpson et al	123	90.17	
		AJ	6,250,265	06/26/2001	Simpson, R.	123	90.17	
		AK	6,263,846	07/24/2001	Simpson et al	123	90.17	
		AL	6,311,655	11/06/2001	Simpson et al	123	90.17	
		AM	6,374,787	04/23/2002	Simpson et al.	123	90.17	
Y		AN	6,477,999	11/12/2002	Markley, G.	123	90.17	
			<u> </u>					

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO

OTHER PRIOR ART

Exam Initial		Author, Title, Date, Perti	nent Pages, Etc		
EXAMIN	IER /	7		DATE CONSIDERED	6-13-04
2,2,2,2,4	0	pine lors	· gan	DATE CONSIDERED	6-17-5

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MAR 3 1 2004

Section 2. PTO 449 (Modified) (ATTACHMENT) TECHNOLOGY CENTER R3700

FORM PTO-1449 U.S. DEPT. OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. BW-DKT00010A	SERIAL NO. 10/620,136
	APPLICANT Simpson et al	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE 07/15/2003	GROUP 3747

U.S. PATENT DOCUMENTS

Exam Initial		DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
50	AO	5,107,805	04/28/1992	Butterfield et al.	120	90.17	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO

OTHER PRIOR ART

Exam Initial	Author, Title, Date, Pertinent Pages, Etc	
EXAMINER Jaum	e Corrigan	DATE CONSIDERED 6-14-04

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MAR 2 2 2004
GROUP 3600



2. [X] FORM PTO - 1449 (Modified)

5. Cumulative patents or Publications

9. Certification under MPEP 609(e)

[X] Certified Mail No:

Copies Arc Submitted Or Need Be Submitted.

8. Translation(s) of Non-English Language Documents

6. [] Copies of Listed Information Items Accompanying This Statement

10. [X] Identification of Person(s) Making This Information Disclosure Statement

7003 pso 0002 3235 184

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

In re the application of:	Simpson et at	
For:	VARIABLE CAM TIMING (VCT)	SYSTEM MODIFICATIONS TO INCREASE ENGINES HAVING LIMITED INHERENT
	TORSIONALS	ENGINES INVINCE ENVIRED INVESTIGATION
Filed:	July 15, 2003	RECEIVED
Application No.:	10/620,136	
Art Unit:	3747	MAR 2 2 2004
Attorney Docket No.:	BW-DKT00010A	
	TION DIG	GROUP 3600
SUP	PLEMENTAL INFORMATION DIS	CLOSURE STATEMEN GROUP 3600
List of	Sections Forming Part of This Inform	nation Disclosure Statement
The following sections are	being submitted for this information D	isclosure Statement
1. [X] Preliminary Stater	nents	

Statement As To Information Material To Examination Not Found in Patents or Publications

7. Concise Explanation of Non-English Language Listed Information Items TECHNOLOGY CENTER R3700

CERTIFICATE OF MAILING

Judy H. Barron

I hereby certify that this correspondence is being deposited with the United States Postal Service, return receipt requested in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 2023 I on this date.

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Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

•The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

- *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

Section 5. Cumulative Patents or Publications
Item(s) are cumulative of the following patents or publication listed on Form PTO 1449 (modified):
n accordance with 37 CFR 1.98(c) a copy of is being submitted with this information disclosure statement.
Section 6. Copies of Listed Information Items Accompanying This Statement
Legible copies of all items listed accompany this information statement.
Exception(s) to above:
☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4. ☐ Cumulative patents or publications identified in Section 5.
Section 7. Concise Explanation of Non-English Language Listed Information Items
Section 8. Translation(s) of Non-English Language Documents
 ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material: ☐ No English language translations of the foreign language patents, publications or information or

The following foreign language documents submitted are believed to be the equivalent or

parts thereof are readily available, except for those listed above.

substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Certification under Rule 1.97
The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
Is being paid with this Information Disclosure Statement
Is not due because: (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT
The person making this statement is
(a) the inventor(s) who signs below
(b) [X] the attorney who signs below on the basis of: the information supplied by the inventor(s) an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)). [X] the information in the attorney's file 3/10/2004
Frank Tian, Registration No.: 46,462 Date:
BROWN & MICHAELS, PC
400 M&T Bank Building, 118 N. Tioga Street
Ithaca, New York 14850-4343 Voice: (607) 256-2000 Fax: (607) 256-3628
e-mail: tian@bpmlegal.com

Customer number: 32175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

In re the application of: For:	Simpson et at CAM TORQUE ACTUATED (CTA) VARIABLE CAM TIMING (VCT) SYSTEM MODIFICATIONS TO INCREASE CAM TORSIONALS FOR ENGINES (E.G. 4-CYLINDER) HAVING LIMITED INHERENT TORSIONALS
Filed: Application No.: Art Unit:	July 15, 2003
Attorney Docket No.:	BW-DKT00010A
	INFORMATION DISCLOSURE STATEMENT
List of S	Sections Forming Part of This Information Disclosure Statement
The following sections are b	eing submitted for this information Disclosure Statement
1. [X] Preliminary Stateme	nts
2. [X] FORM PTO - 1449	(Modified)
3. Statement As To Inf	ormation Material To Examination Not Found in Patents or Publications
4. Identification of Prior Are Submitted Or N	r Application In Which Listed Information Was Already Cited and For Which No Copies eed Be Submitted.
5. Cumulative patents of	r Publications
6. [X] Copies of Listed Info	ormation Items Accompanying This Statement
7. Concise Explanation	of Non-English Language Listed Information Items.
8. Translation(s) of Nor	n-English Language Documents
9. Certification under M	IPEP 609(e)
10. [X] Identification of Per	rson(s) Making This Information Disclosure Statement
•	CERTIFICATE OF MAILING
[M] Express Mail 140.	Date: 7-/5-03 correspondence is being deposited with the United States Postal Service, return receipt
, ,	sed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this date.

Judy H. Barron

Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

- *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

Which	h No Copies Are Submitted Or Need Be Submitted
Section 5. Cu	imulative Patents or Publications
Item(s) are cu	mulative of the following patents or publication listed on Form PTO 1449 (modified):
In accordance	e with 37 CFR 1.98(c) a copy of is being submitted with this information disclosure statement
Section 6. Co	opies of Listed Information Items Accompanying This Statement
Legible copies	s of all items listed accompany this information statement.
Except	tion(s) to above:
identif	ms in prior application from which an earlier filing date is claimed for this application as fied in Section 4. Imulative patents or publications identified in Section 5.
Section 7. Co	oncise Explanation of Non-English Language Listed Information Items
Section 8. Ti	ranslation(s) of Non-English Language Documents .
publication public	bmitted herewith is an English translation of the following foreign language patents, ations or information or of those portions of those patents, publications or information dered to be material: Description: De

substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Certification under Rule 1.97
The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
☐ Is being paid with this Information Disclosure Statement
Is not due because: (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT The person making this statement is
(a) the inventor(s) who signs below
(b) [X] the attorney who signs below on the basis of: the information supplied by the inventor(s) an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)). [X] the information in the attorney's file